

# **A Domain Adaptation Technique for Fine-Grained Occupancy Estimation in Commercial Buildings**

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University of Alberta

ACM/IEEE IoTDI

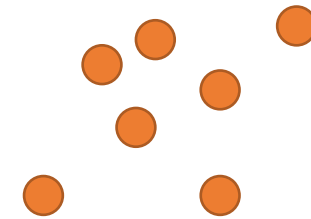
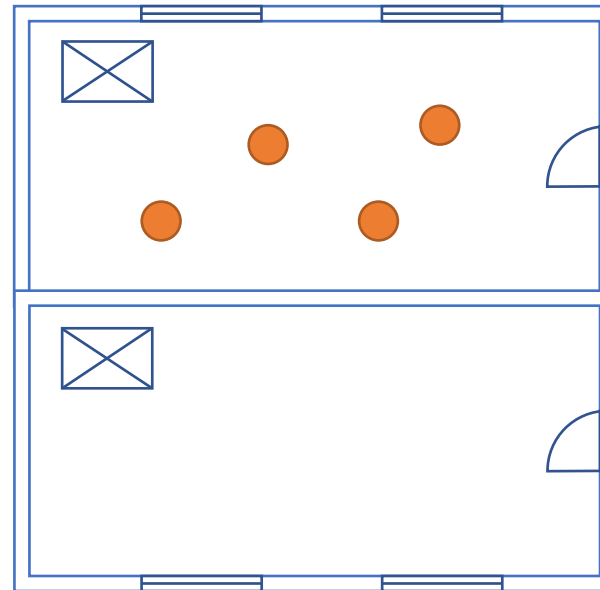
April 2019

Montreal, Canada

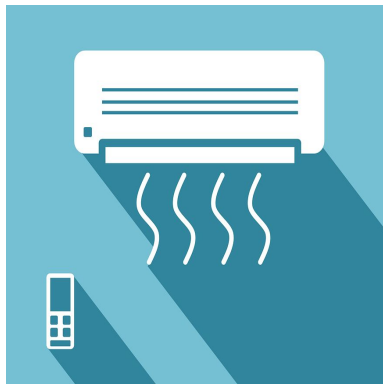
# Overview – Problem Definition

- How to **reliably** estimate **the number of occupants** in the many rooms of a commercial building?

Estimated Binary State: **Unoccupied**  
Estimated Occupancy Count: **0**



# Overview – Applications of Occupant Count Determination



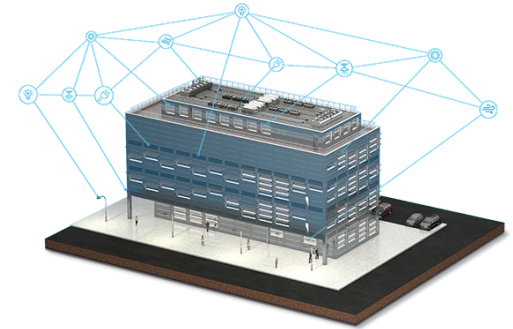
Demand-Driven  
HVAC Control



Security



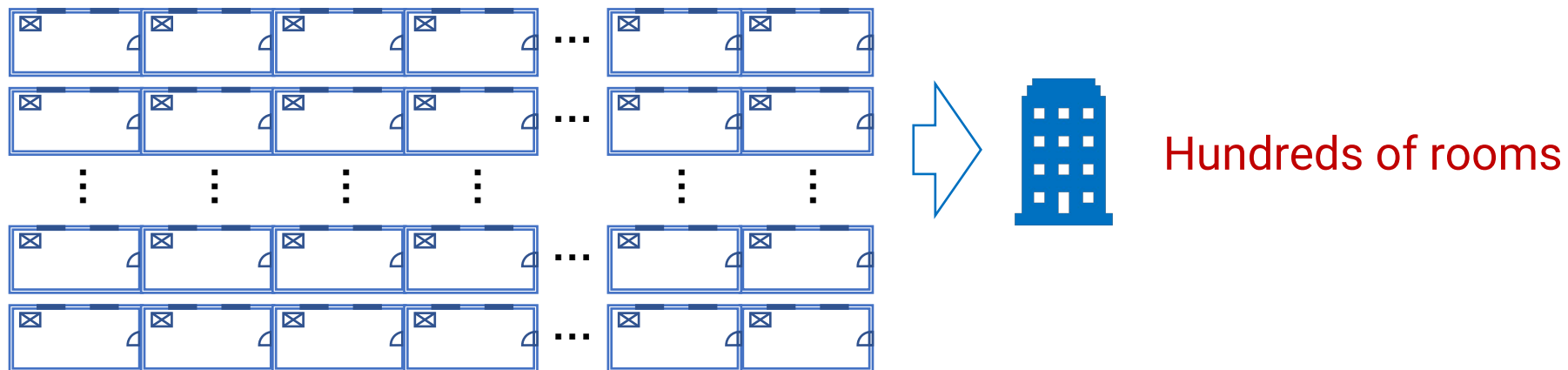
Space  
Utilization



Many other  
applications in  
occupant-centred  
buildings

# Overview – Problem Definition

- How to **reliably** estimate the number of occupants in the many rooms of a commercial building?
- Well-studied problem at the room level, but how about a large building?



# Overview – Domain Adaptation

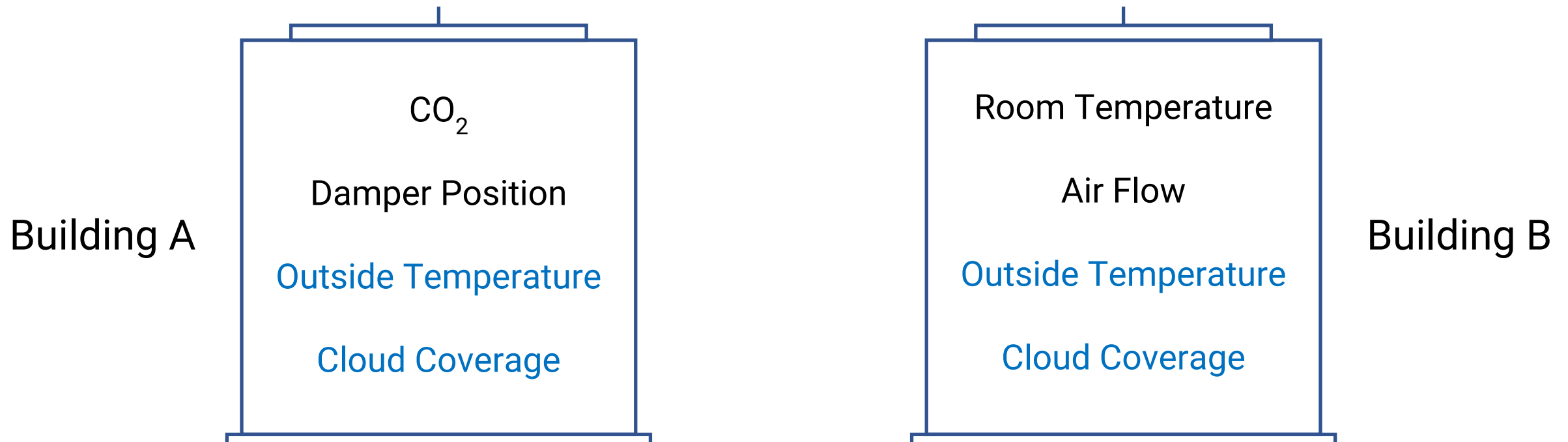
- How to build a **general model** that can be reused in **multiple rooms/buildings**?
- How to build a **black-box model** for a room/building **with no/limited available labeled data**
- **Solution:** modify a well-tuned model for one room/building to adapt it to the target room/building leveraging some information

# Overview – Experiments

- Data collected from two buildings located in Canada and Denmark
- Buildings have different room sizes, types, and sensing modalities

Ground truth data collected using cameras

Ground truth data extracted from room calendars



# Outline

- Challenges and previous work
- Methodology
- Results
- Takeaways and future work

# Challenges

- Multiple sensing modalities

**Cameras**  
[Erickson 13]



**Wireless Network**  
[Zou 17]



**Thermal Arrays**  
[Beltran 13]



**Grid-EYE**  
sensors

**HVAC Sensors**  
[Ardakanian 18]



**Damper Position**  
Sensors



**CO<sub>2</sub>, Temp &**  
**Humidity Sensors**

**Door Sensors**  
[Agarwal 11]
















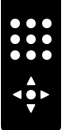









**Magnetic Reed**  
Switches

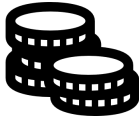




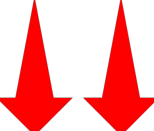

















<https://www.cctvcamerapros.com/Dummy-Security-Camera-p/dum-d01.htm>  
<https://web.sonxplus.com/en/5-practical-tips-to-optimize-your-home-wireless-network/>  
<https://hackaday.com/2017/06/05/diy-grid-eye-ir-camera/>  
<http://csr200.blogspot.com/2016/03/damper-position-sensors.html>  
<https://www.tempcon.co.uk/shop/hobo-mx1102-bluetooth-co2-temp-rh-data-logger>  
<https://www.wiltronics.com.au/product-category/magnetic-reed-switch-bar-magnets/>



# Different sensing modalities

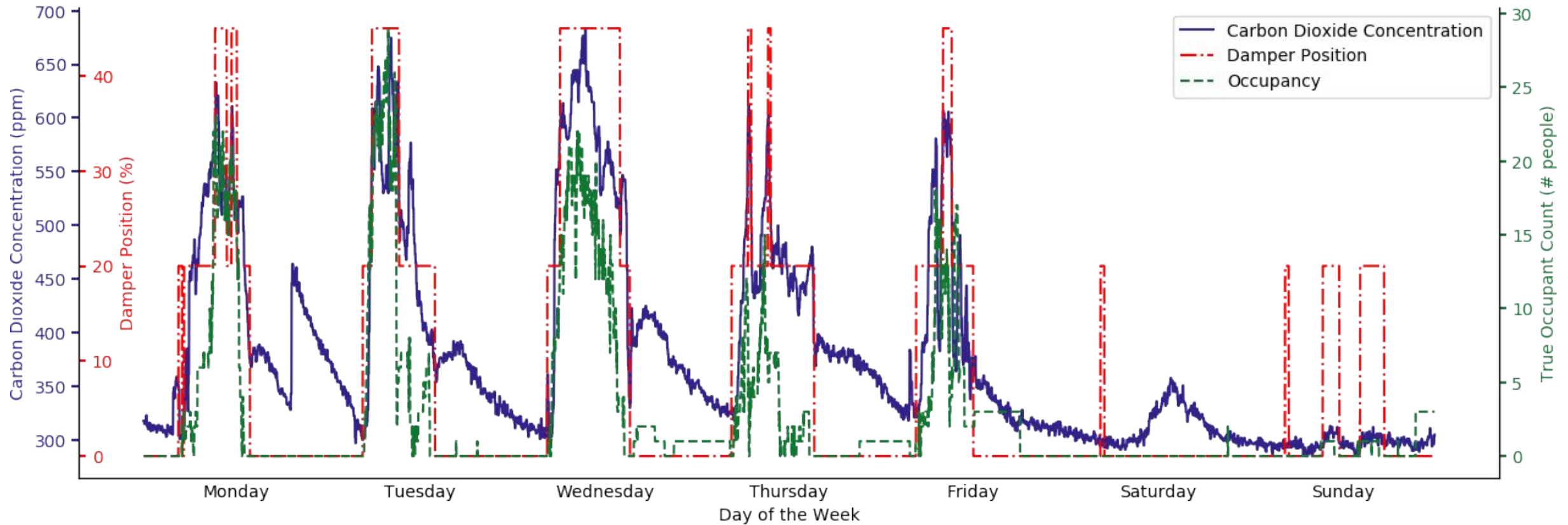
	 Cost	 Privacy	 Occ. Estimation Accuracy
 Cameras [Erickson 13]			
 Wireless Network [Zou 17]			
 Thermal Arrays [Beltran 13]			
 HVAC Sensors [Ardakanian 18]			
 Door Sensors [Agarwal 11]			

# Different sensing modalities

	 Cost	 Privacy	 Occ. Estimation Accuracy
 Cameras [Erickson 13]			
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zero-cost sensors

# Wait... Can HVAC sensors be used for occupancy estimation?



# Challenges

- Multiple sensing modalities, some are less correlated with occupancy (HVAC sensors)
- Several sensor data fusion algorithms
  - Physics-based model to quantify heat gain due to occupancy
    - Have to customize for each room/building. Too complex to build high-order models.
  - Black-box model
    - Easier to build, but requires large amounts of labelled data for training

# Challenges

- Multiple sensing modalities, some are less correlated with occupancy (HVAC sensors)
- Different sensor data fusion algorithms (black-box model)
  - Time-series models (using a sequence of data to predict)
    - RNN / NARX
  - Single snapshot prediction models (using one data point to predict)
    - SVR / SVM / Random Forest

# Challenges

- Multiple sensing modalities, some are less correlated with occupancy (HVAC sensors)
- Different sensor data fusion algorithms (black-box model)
- Ground truth data is often sparse or nonexistent (expensive to collect)



# Our hypothesis is...

models that are built in a controlled environment (source domain)  
can be reused in a new environment (target domain) after some  
adaptation

and that the adapted model has higher accuracy than a model  
built from scratch for the target domain

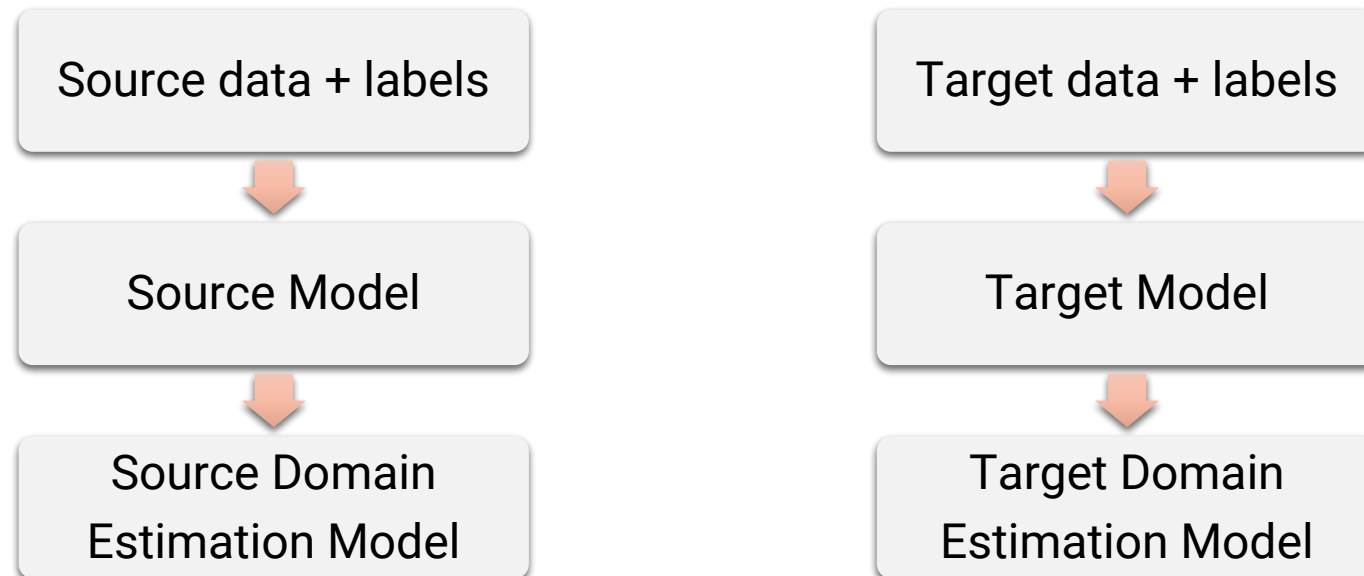
# Domain Adaptation

Basic Idea: transform a well-trained model from a source domain to a related target domain after performing some modifications on the model.



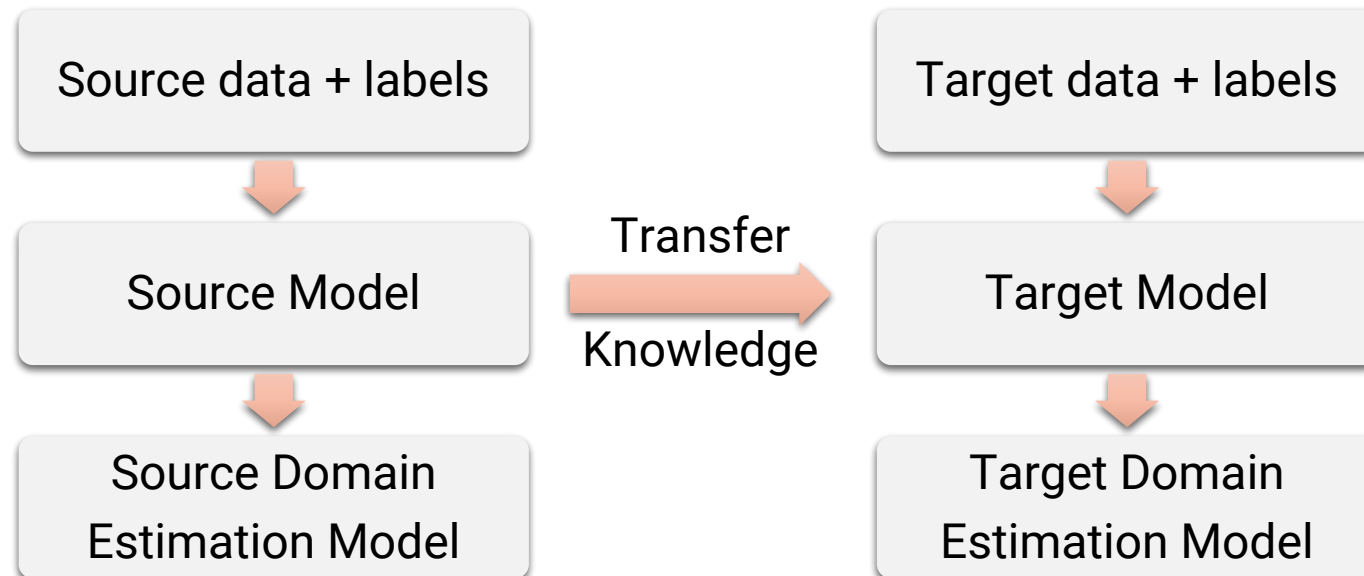
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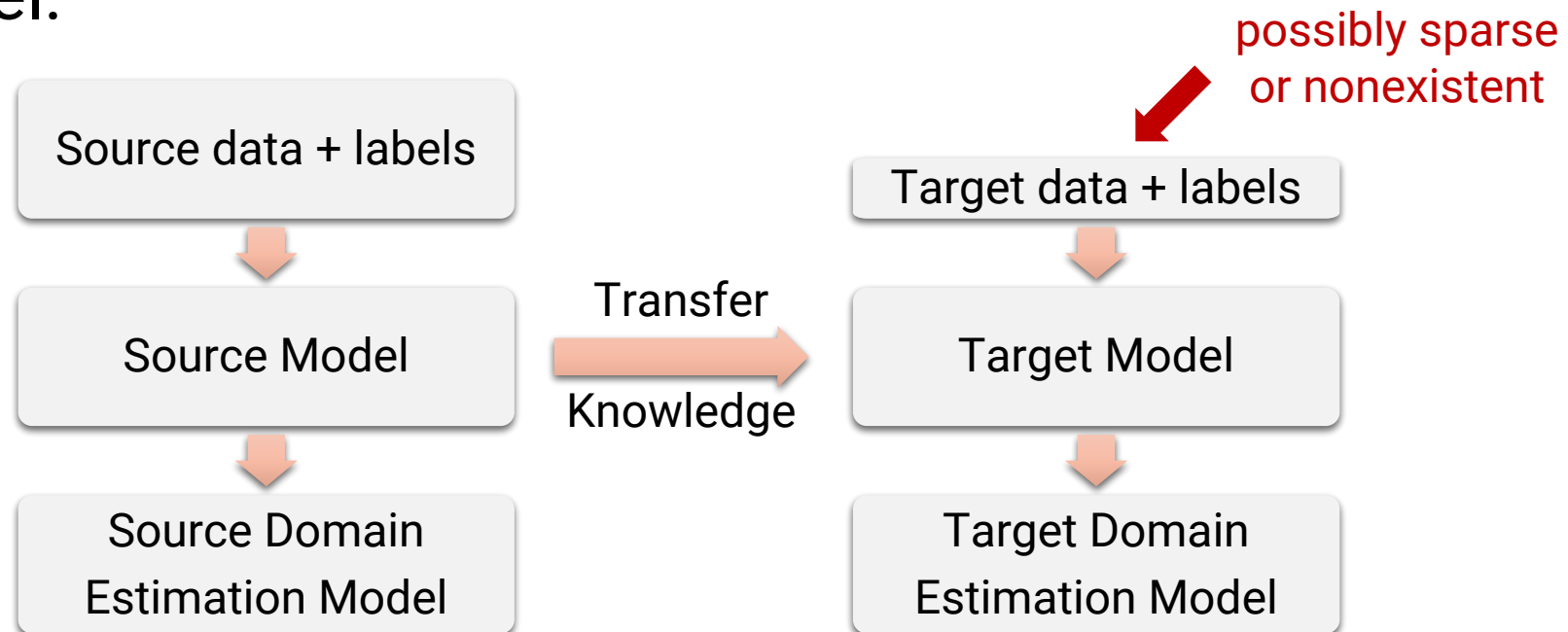
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# Domain Adaptation

How is it applied to our problem?

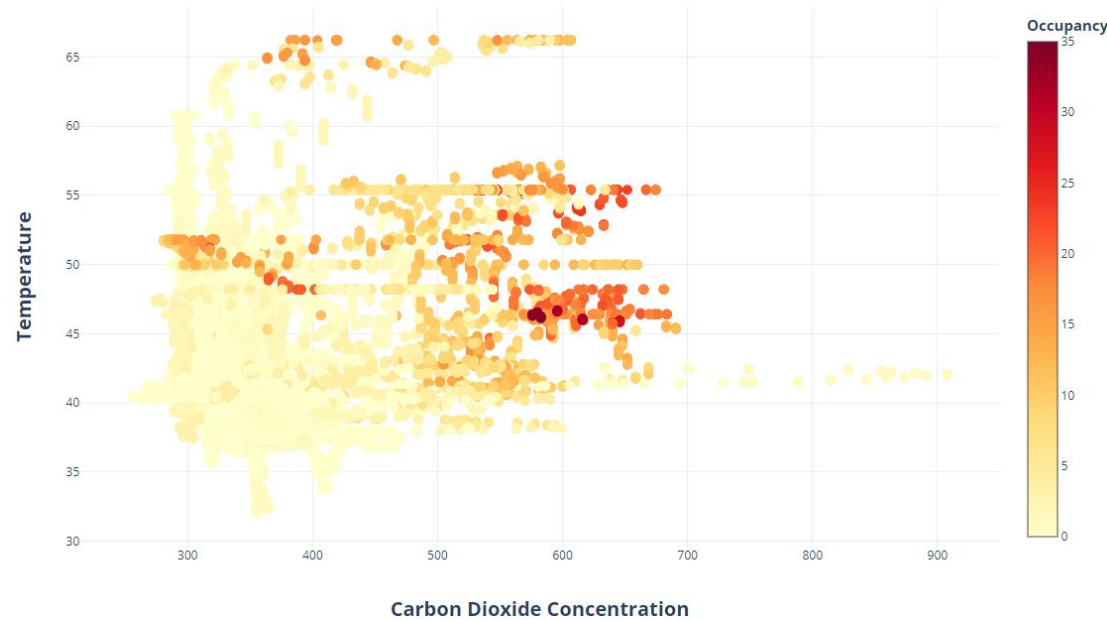
- Train a well-suited model in a room equipped with a high-accuracy occupancy monitoring system (**source domain**)
- Adapt it to another room within the same building (**target domain**) using some information about the apparent differences between the rooms
- **Main benefit:** we do not need a lot of labeled data in the target domain; hence, it can be widely applied to the many rooms in a given building

# Assumptions

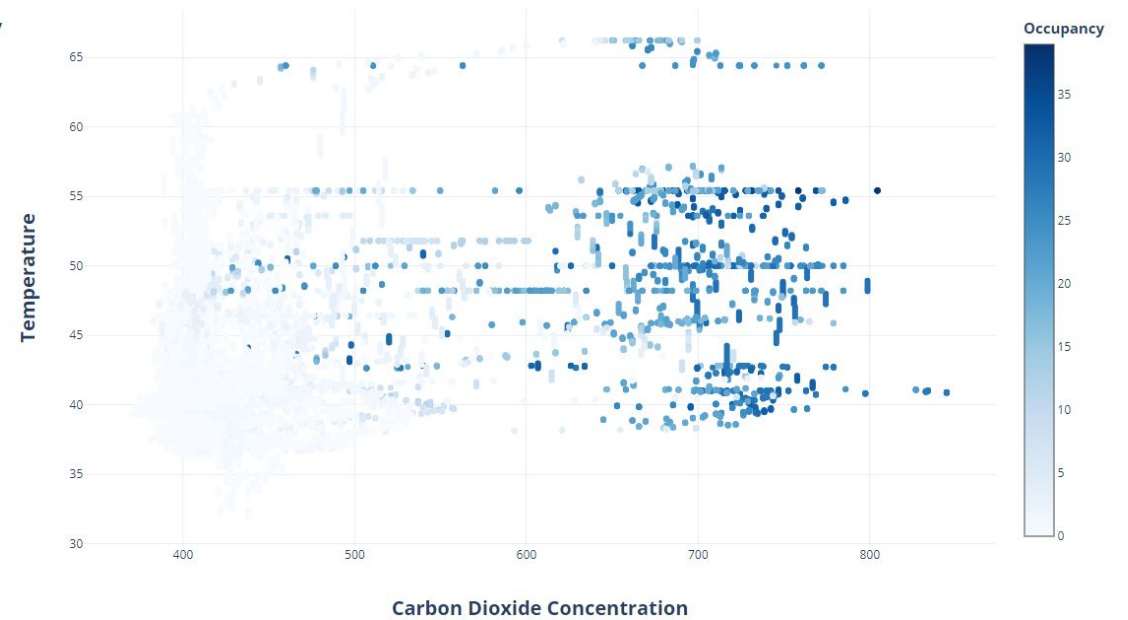
- Occupancy influences measured quantities in both source and target domains in a similar way.
- The same types of sensors are deployed in both domains  
(same feature space)

# Why domain adaptation is necessary?

Source and target domains may have different distributions



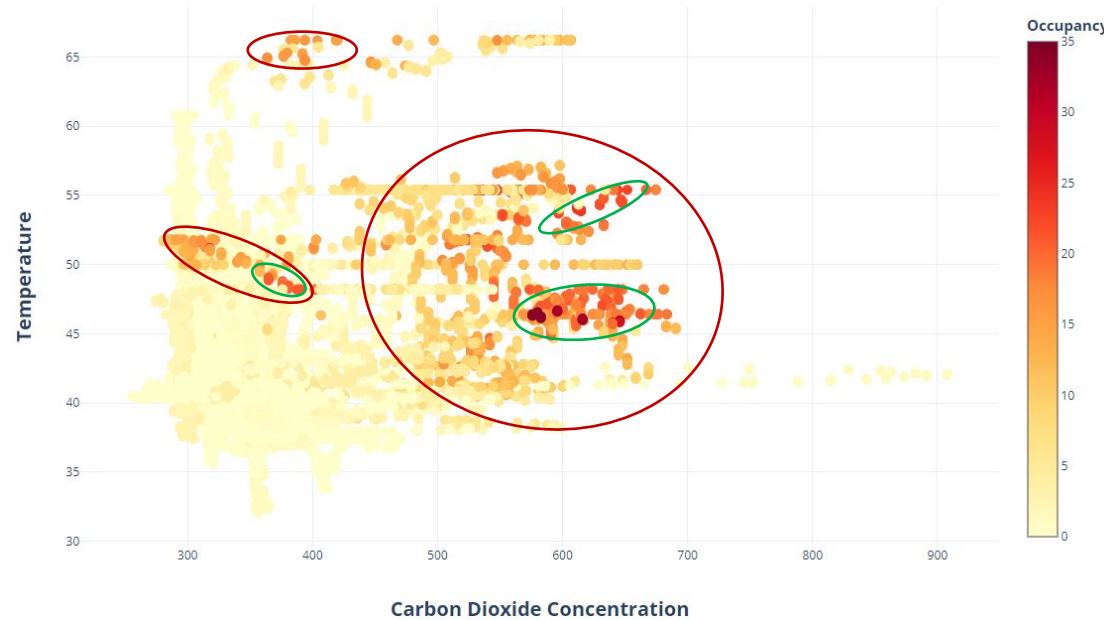
Room 1 in Building A



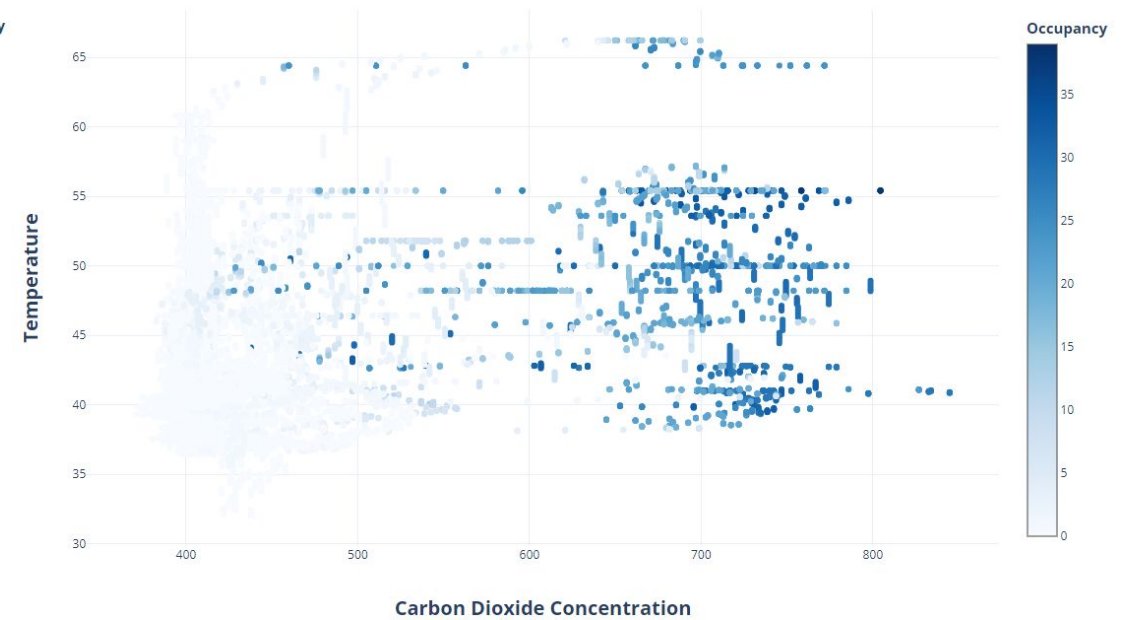
Room 2 in Building A

# Why domain adaptation is necessary?

Source and target domains may have different distributions

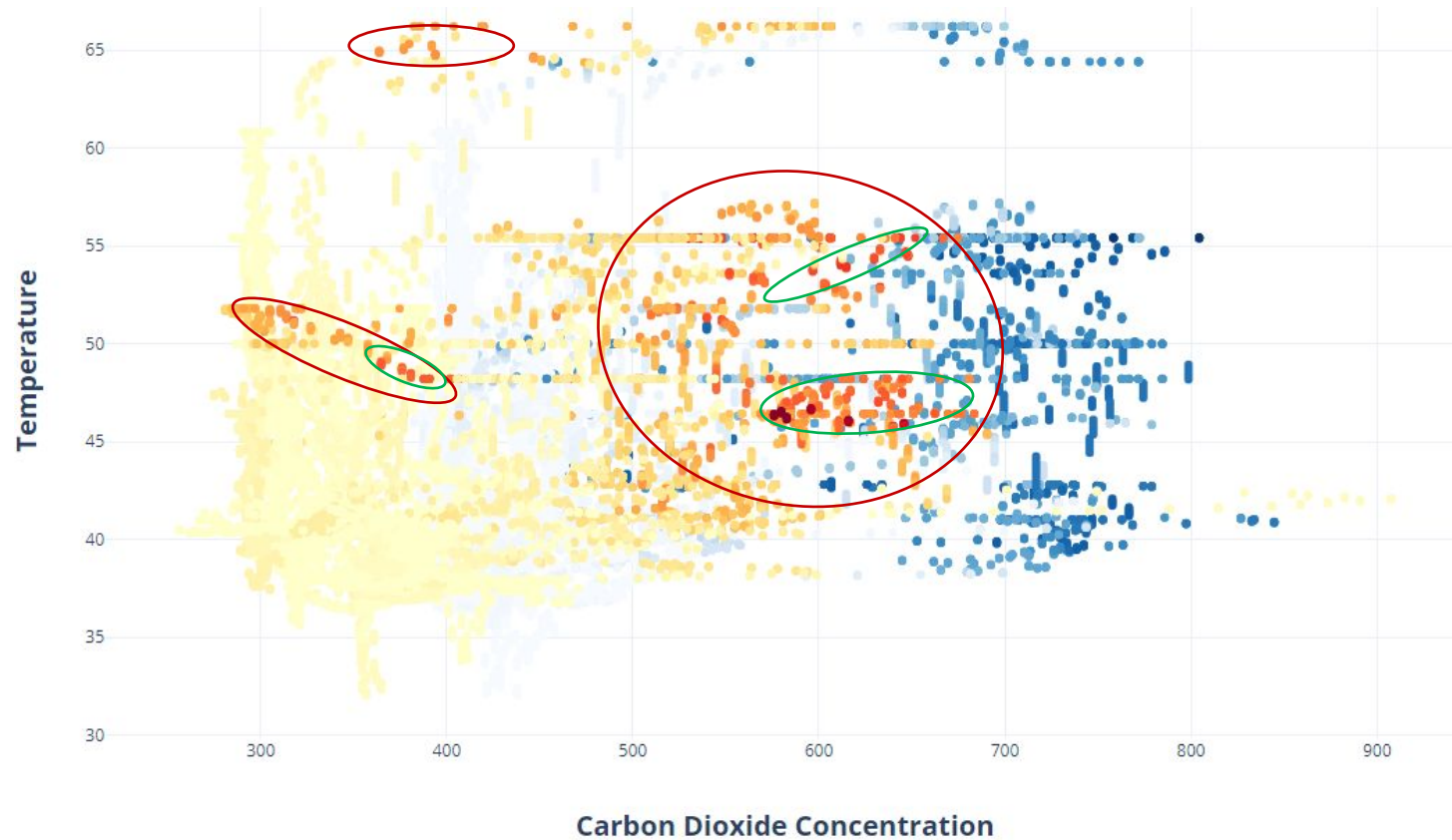


Room 1 in Building A



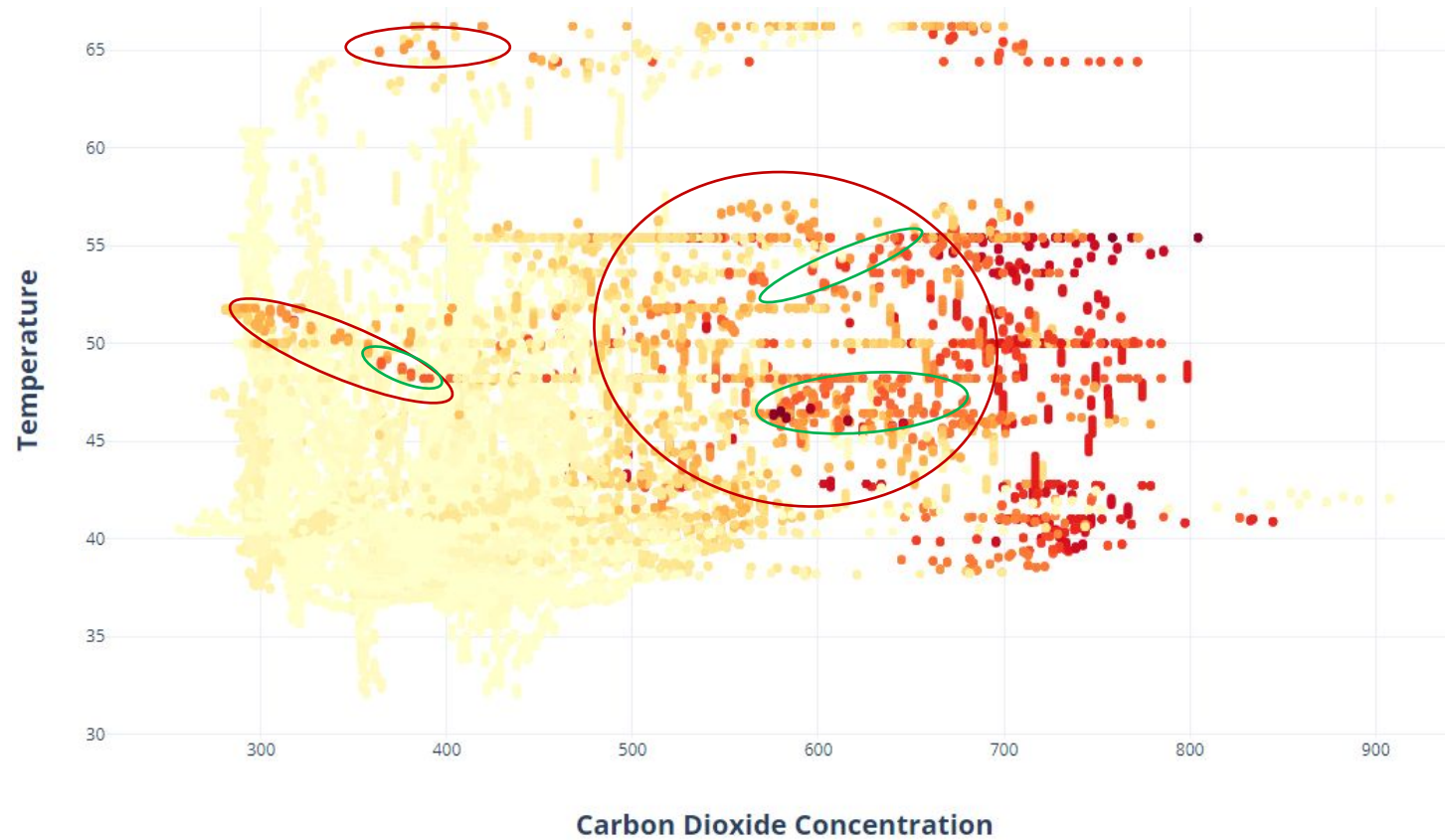
Room 2 in Building A

# Why domain adaptation is necessary?





# Why domain adaptation is necessary?

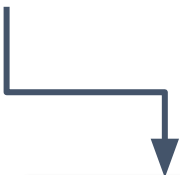


# Domain Adaptation Techniques

Unsupervised  
learning model  
(training step)



Source Domain

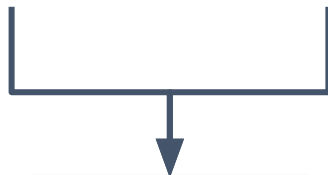


Model

Semi-supervised  
learning model  
(training step)



Source Domain Target Domain

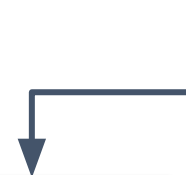


Model

Supervised  
learning model  
(training step)



Target Domain



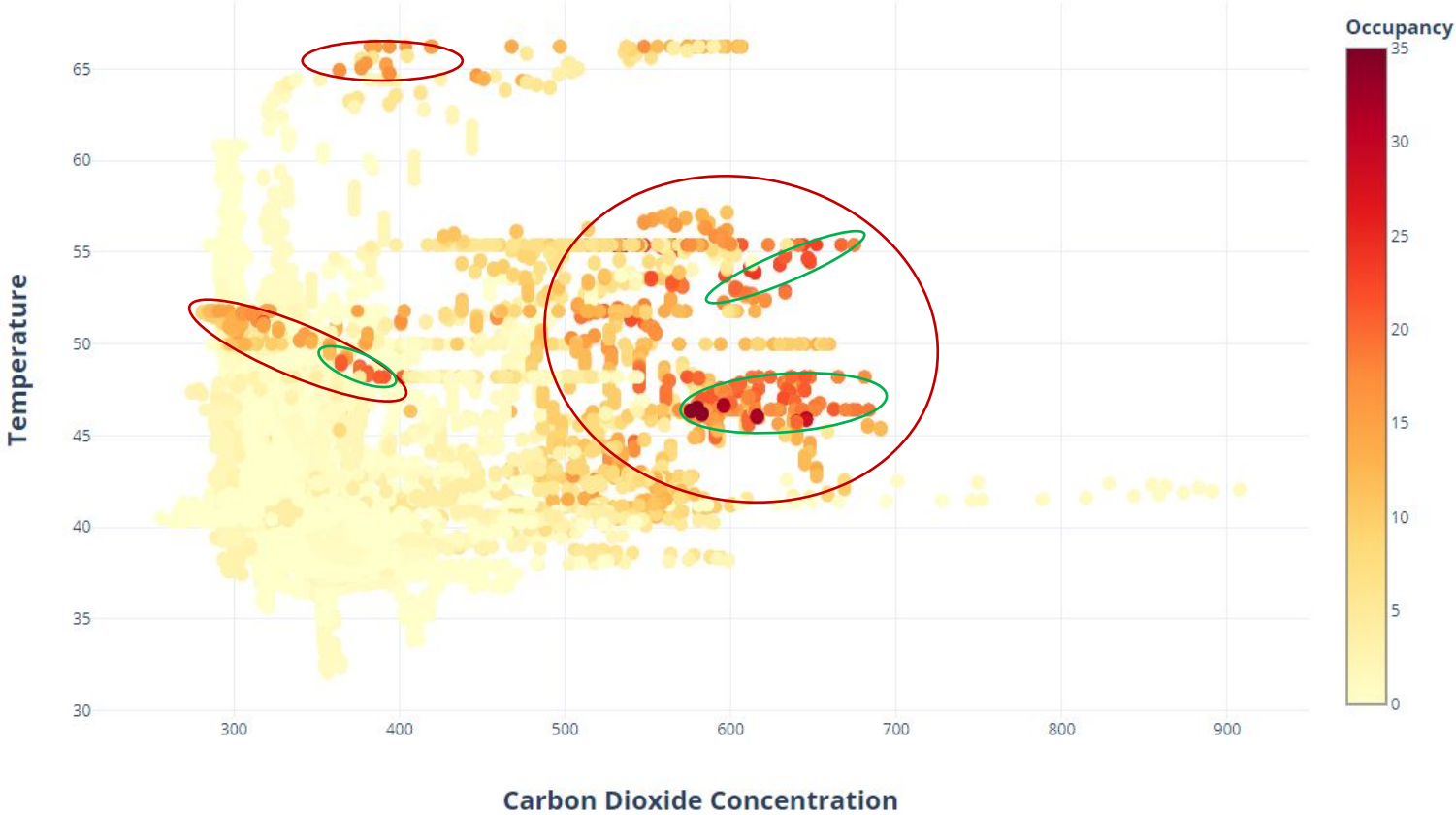
Model

# Domain Adaptive Recurrent Neural Networks

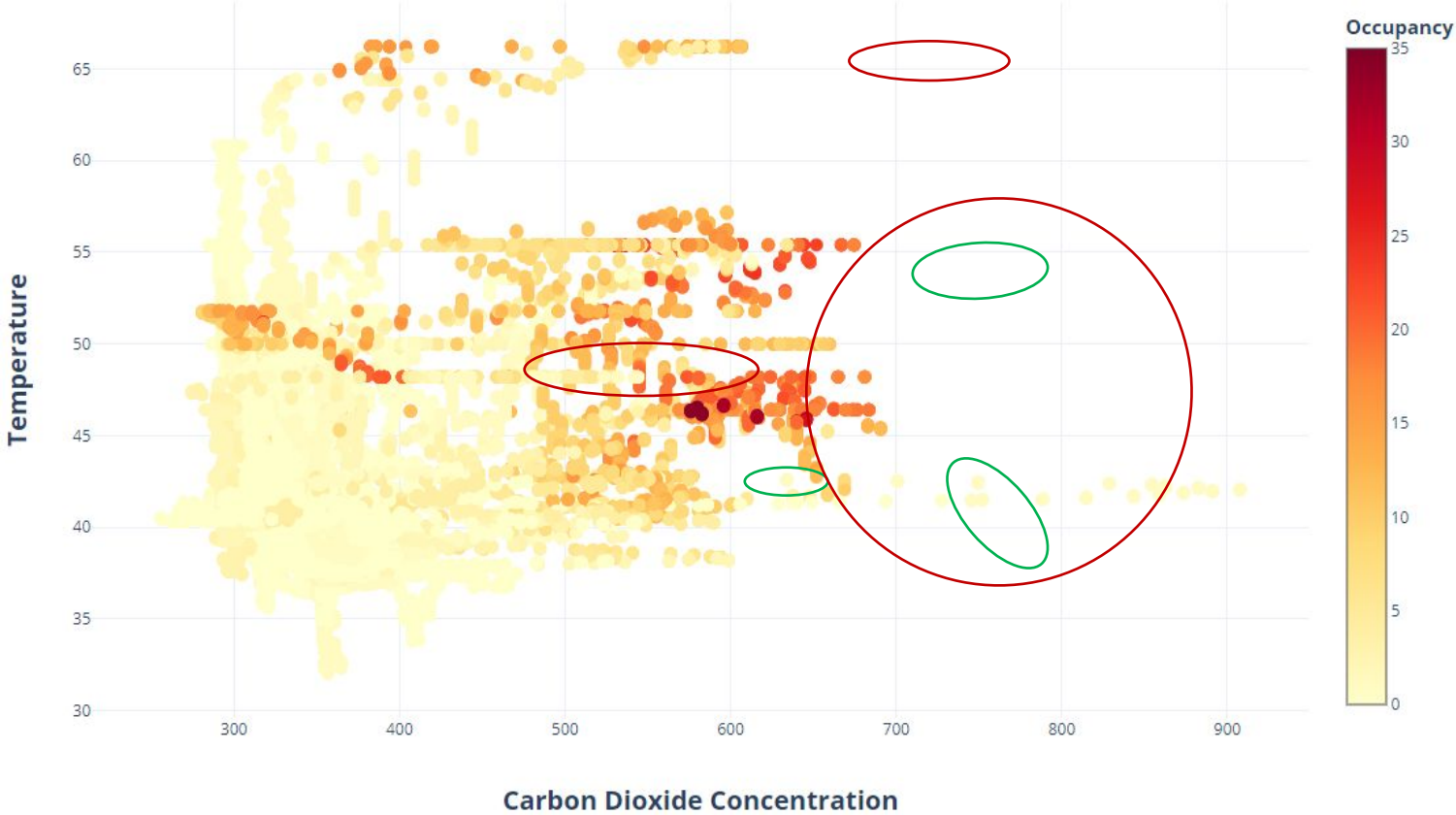
- Re-weighting (may be carried out for semi-supervised and unsupervised domain adaptation):
  - Adjust the weights of output layer based on the maximum occupancy
  - Adjust the weights of input layer corresponding to the CO<sub>2</sub> values based on the size of the room and the ventilation power of the room
- Re-training (only for semi-supervised domain adaptation):
  - Use the limited labeled data from the target domain to calibrate the weights



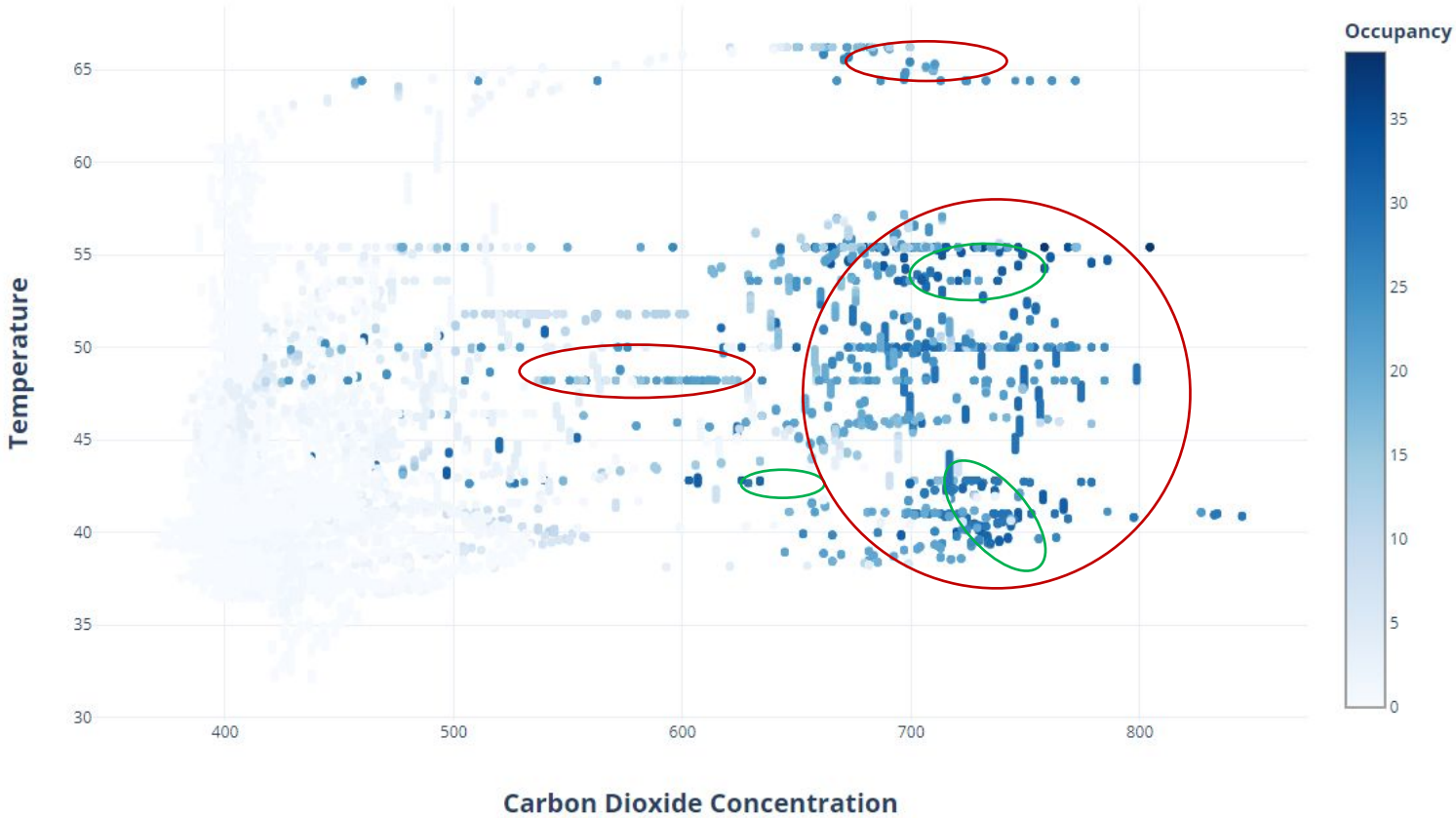
# Applying Domain Adaptation



# Applying Domain Adaptation

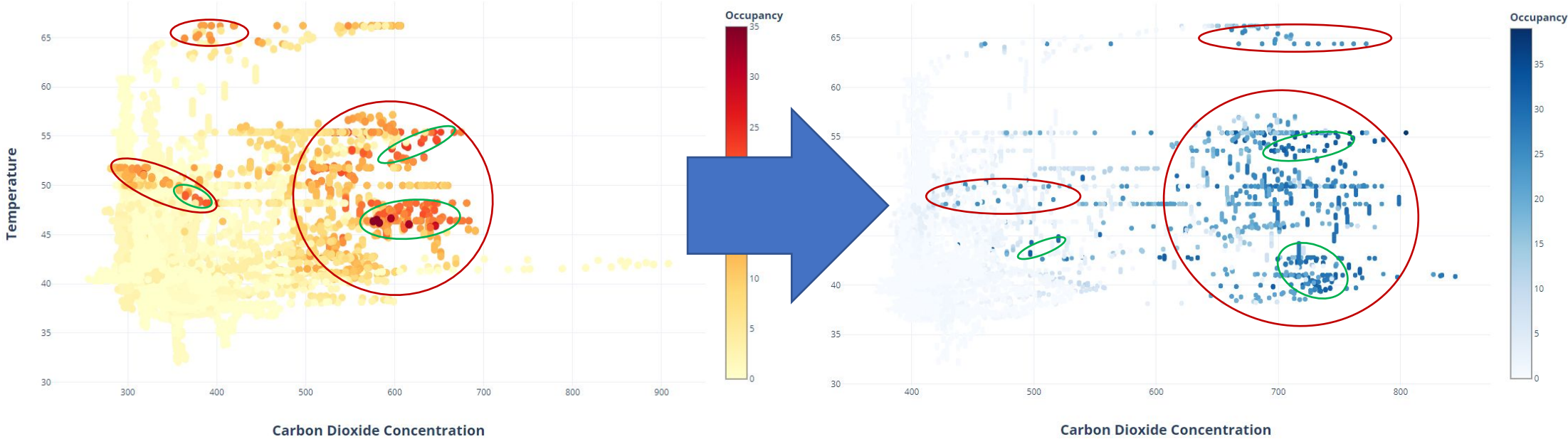


# Applying Domain Adaptation



Re-weighting

# Applying Domain Adaptation

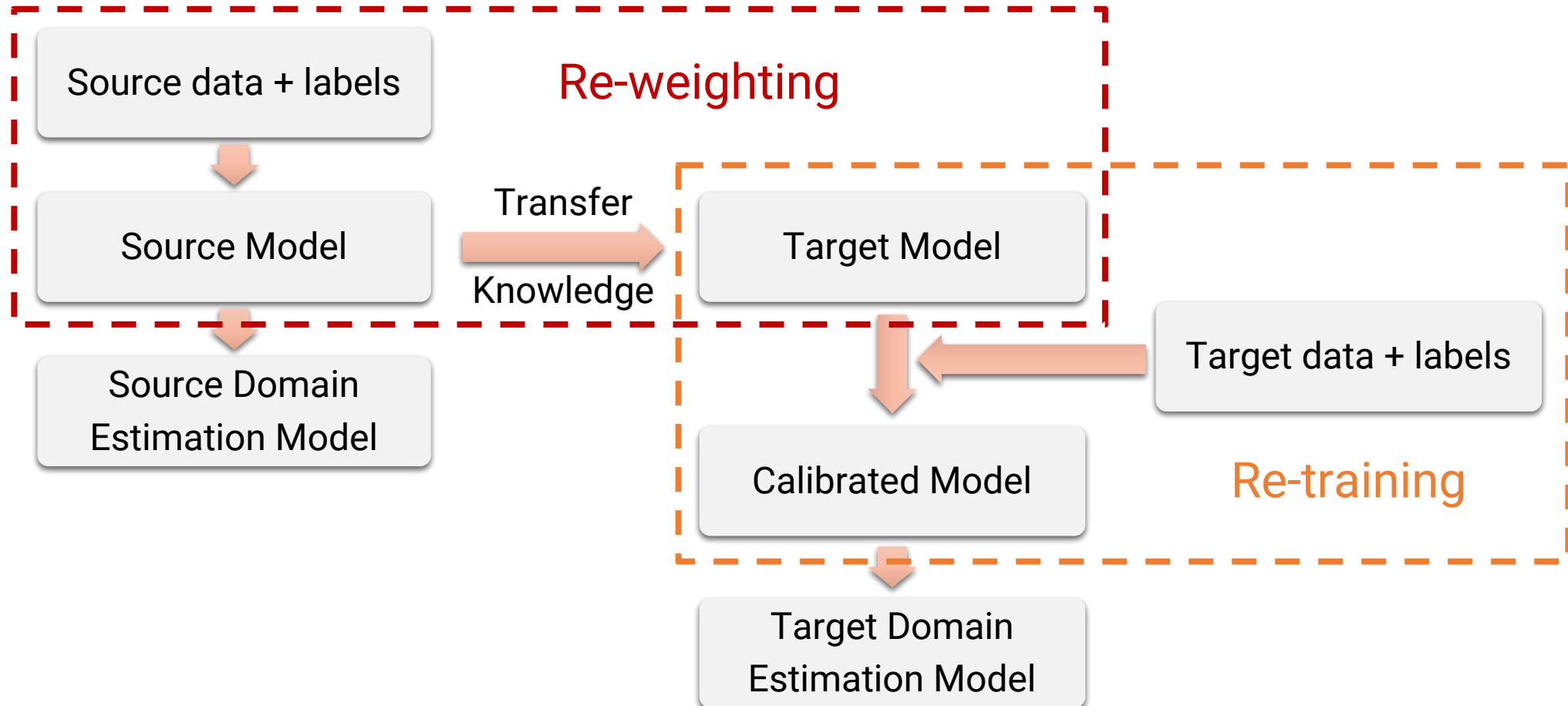


Room 1 in Building A

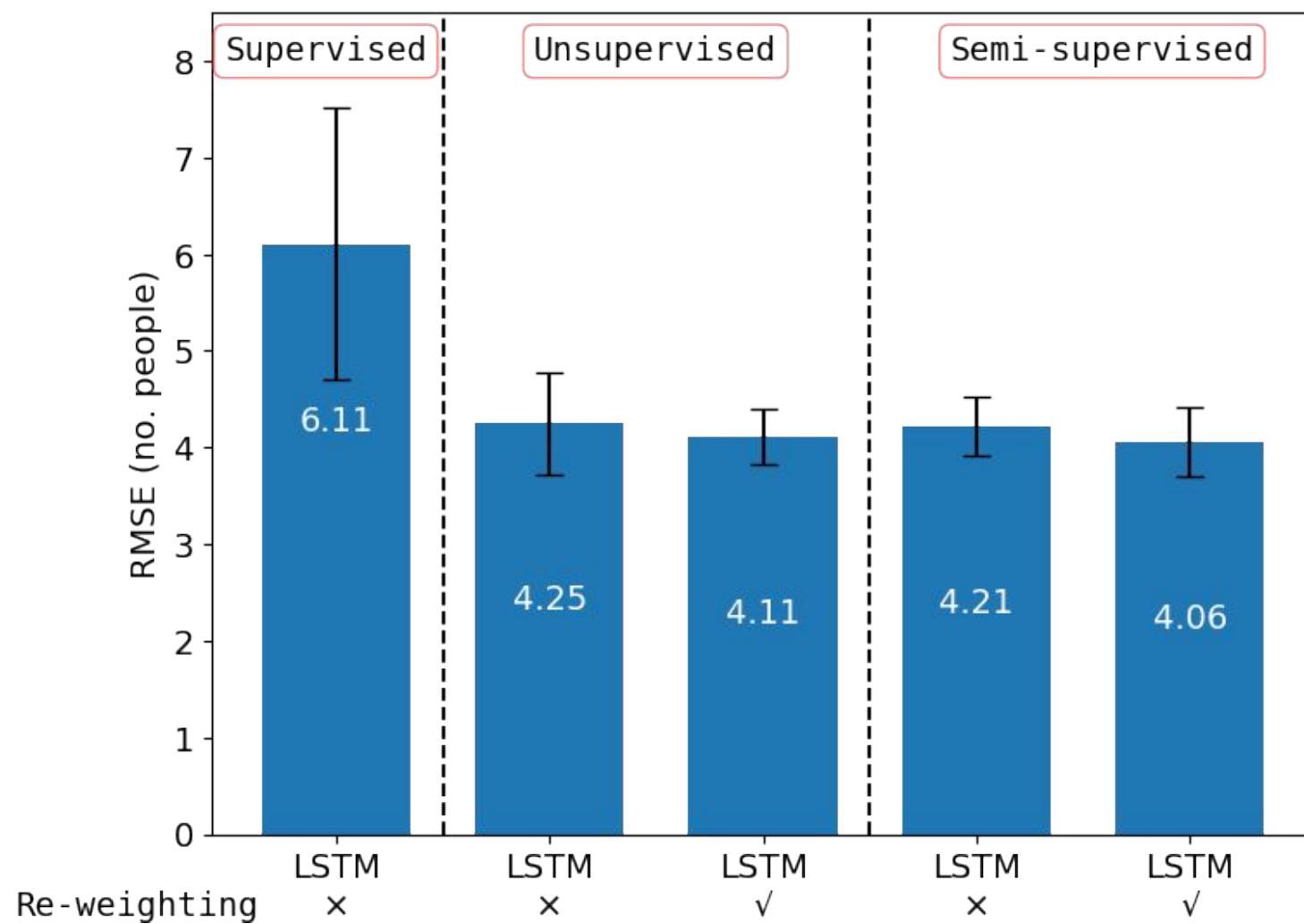
Room 2 in Building A



# The whole process...

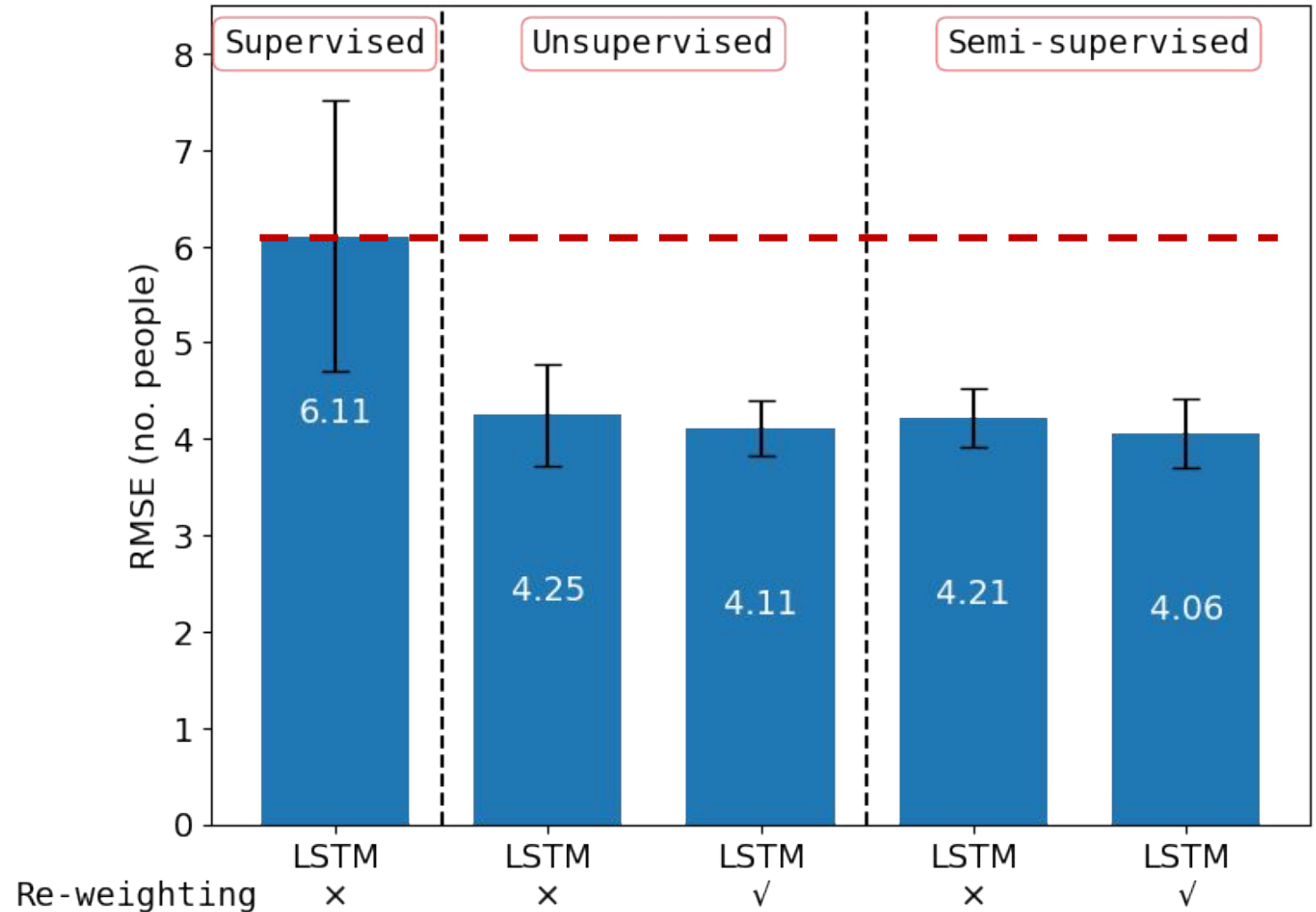


# Results



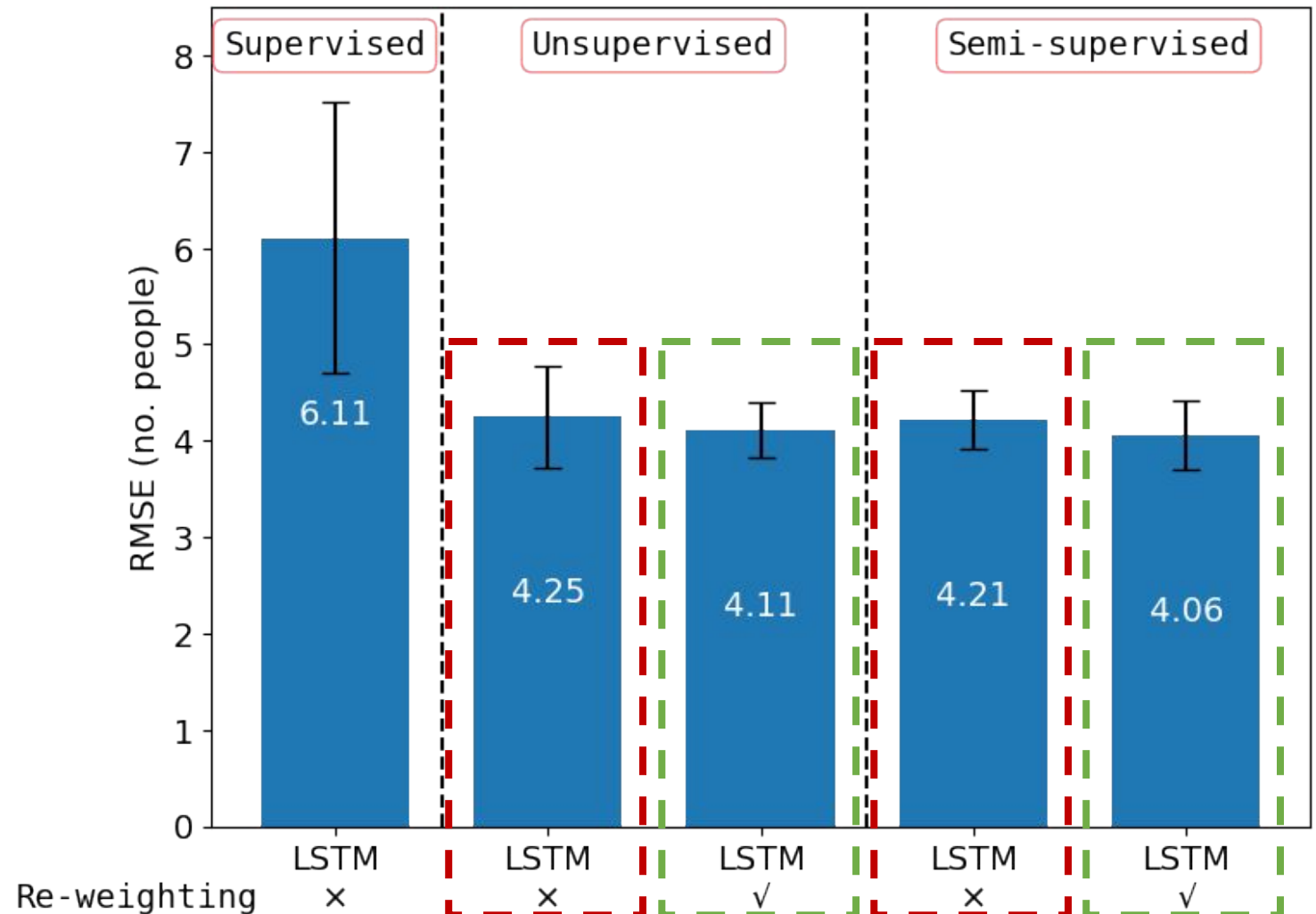
# Results

- Domain-adaptation improves the accuracy



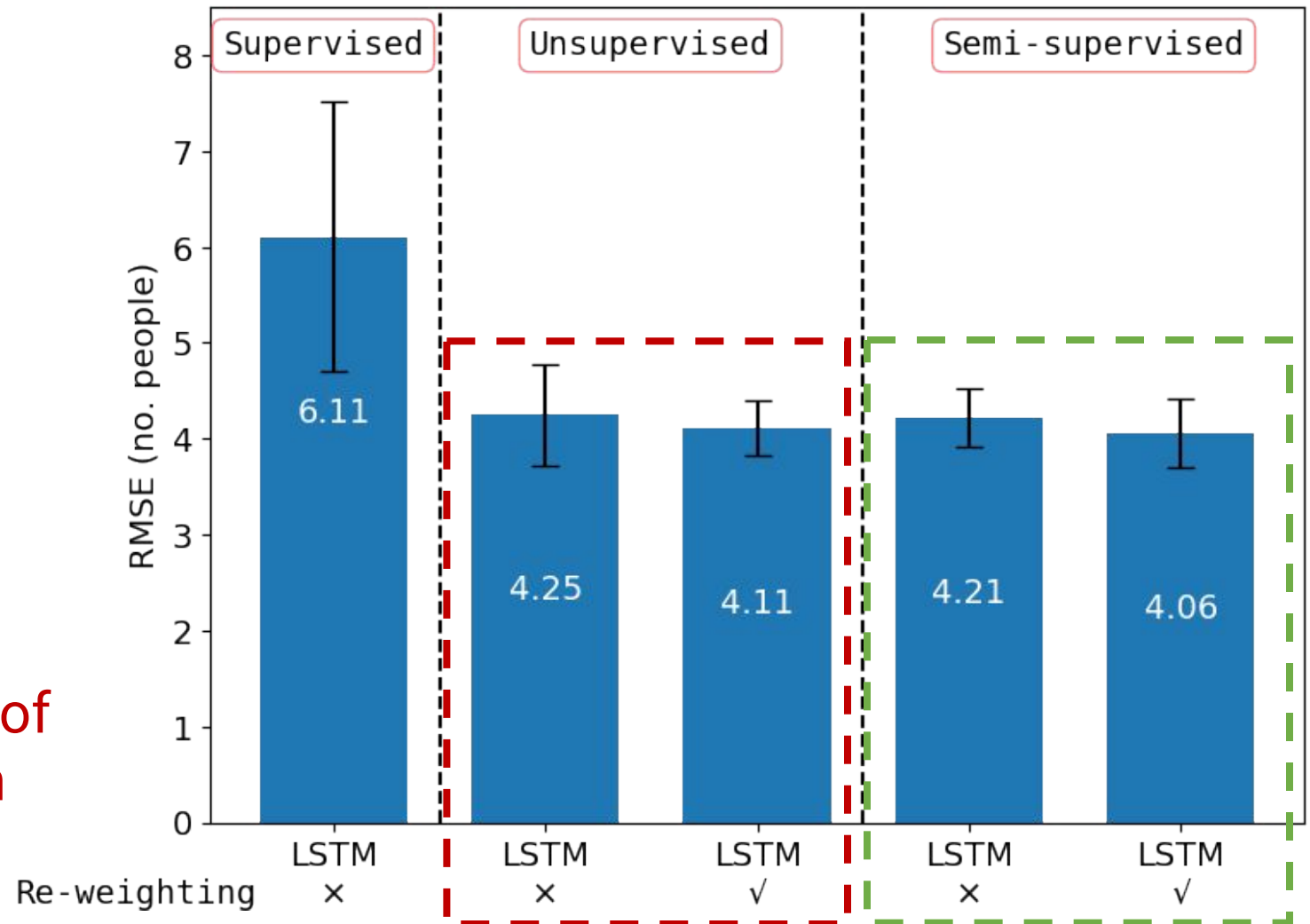
# Results

- Domain-adaptation improves the accuracy
- Semi-supervised performs better (re-training is useful)

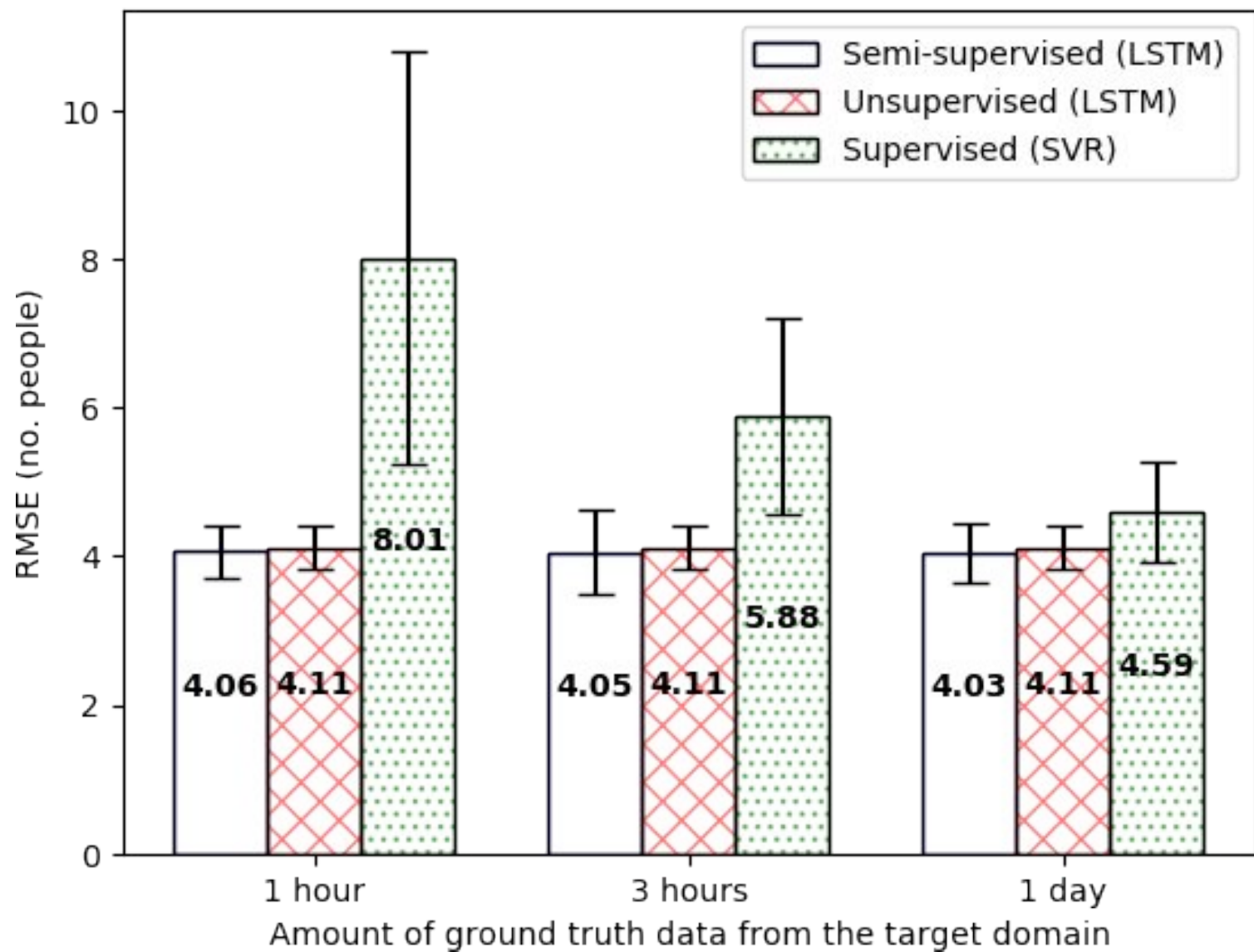


# Results

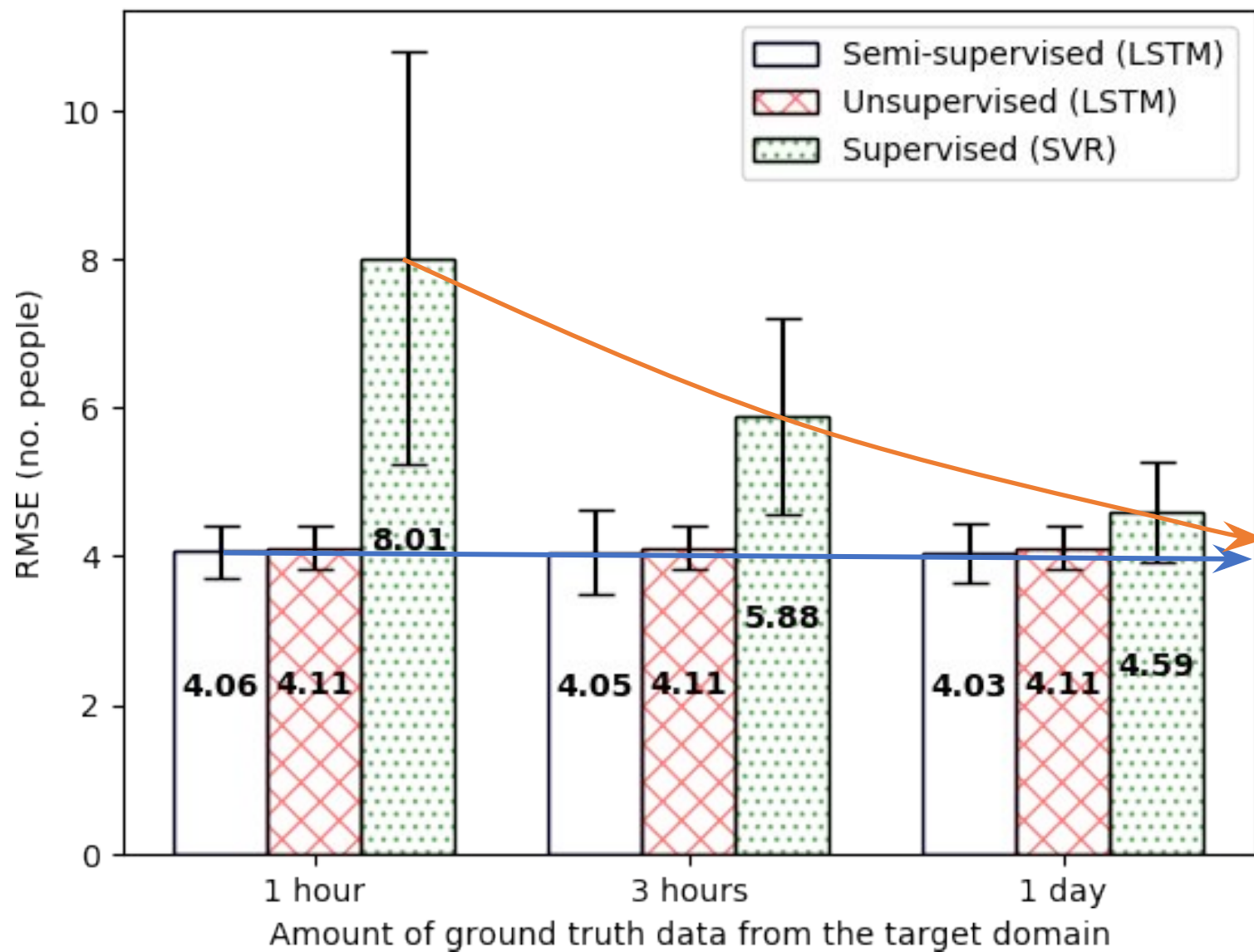
- Domain-adaptation improves the accuracy
- Semi-supervised performs better (re-training is useful)
- Re-weighting can help reduce the RMSE, BUT requires the knowledge of the differences between the two domains



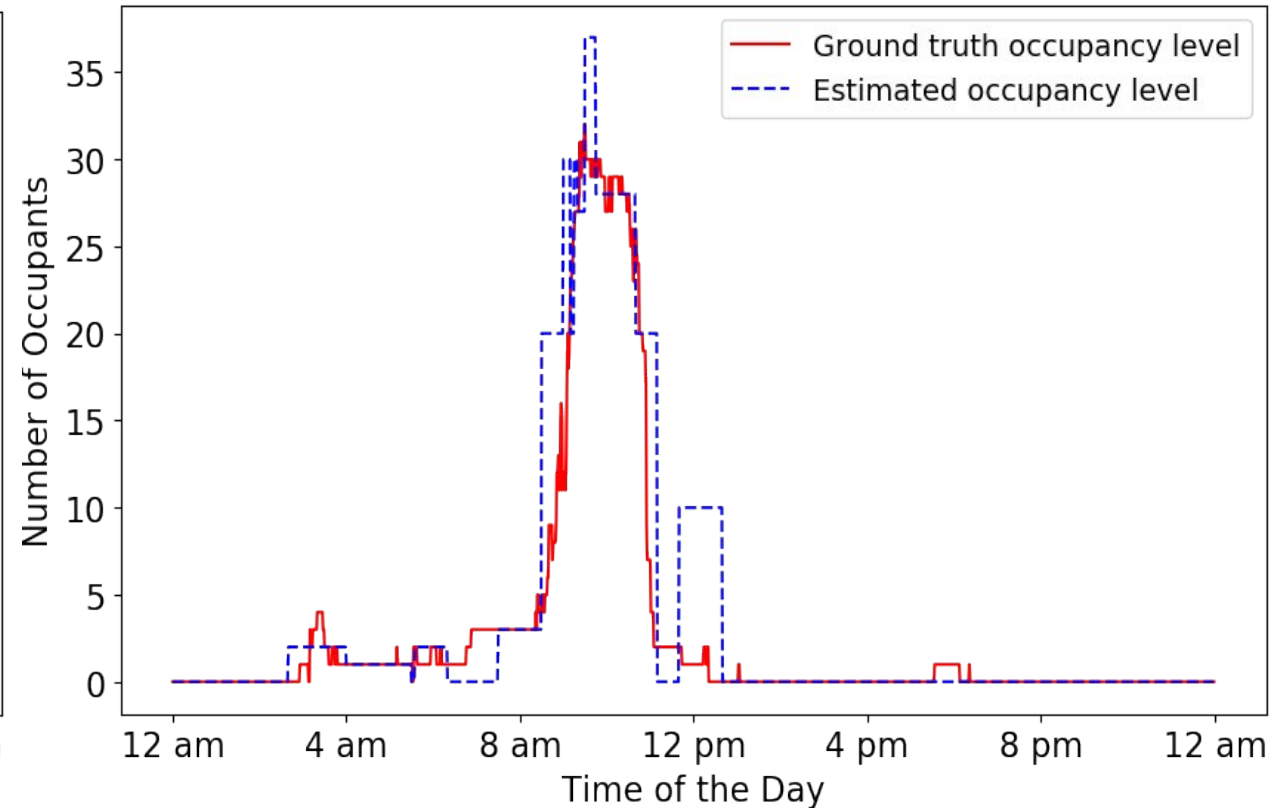
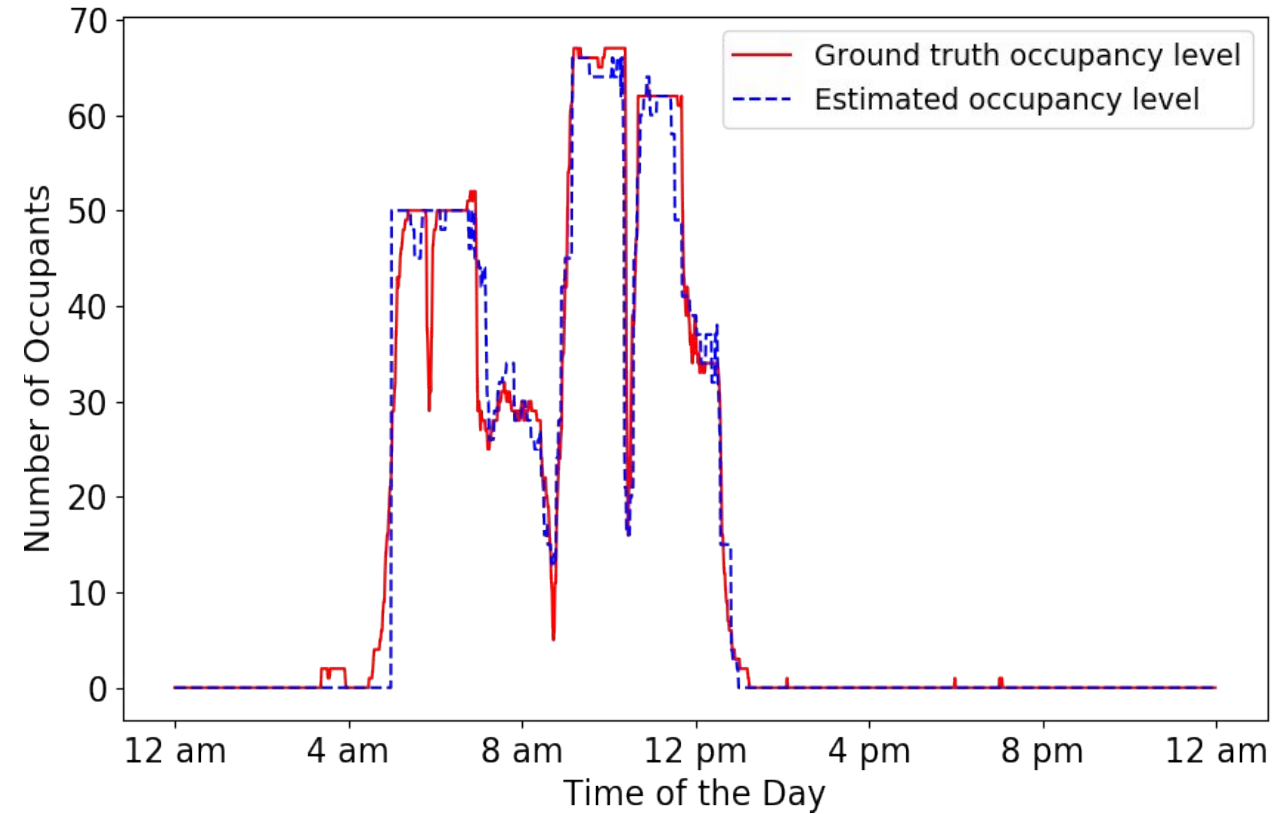
# Results



# Results



# Results



two rooms in Building A



# Takeaways

- Time-series black-box model can estimate the number of occupants accurately
- Domain-adaptation techniques can be applied to occupancy estimation task to improve the performance
- Domain-adaptation can significantly reduce the amount of ground truth data required in the target domain

# Directions for future work

- What if the source and target domains are in two different geographies?
- What if the feature spaces are different?
- Can we apply domain adaptation to other types of models (e.g., heat transfer models, occupant comfort models, etc.)?

Questions?